



NEWS

NEWS

For Immediate Release

April 28, 2021

Contact: Eric Winterhalter 415.464.2454

ewinterhalter@trancapital.com

TCM Becomes Signatory to United Nations' Principles for Responsible Investment

SAN RAFAEL, CA – TCM, Tran Capital Management – has become a signatory to the United Nations' Principles for Responsible Investment, a voluntary set of guidelines designed to assist asset managers in incorporating environmental, social and governance (ESG) principles into investment practice.

TCM, which specializes in concentrated stock portfolios of U.S. growth companies, has long incorporated ESG practices in its investment process, considering both the external impact of a company's products or services and the company's internal policies, controls, and interactions with shareholders, employees, and other stakeholders. In becoming a signatory to the UN principles, TCM joins a network of thousands of companies, including investment managers, service providers and institutional investors in publicly supporting the initiative.

"We believe this is good for the world and for our clients," said TCM Chief Investment Officer Quoc Tran. "Our ESG framework aids in identifying sustainable franchises and better positioning our portfolios to perform over the long term and through multiple market cycles."

THE SIX PRINCIPLES FOR RESPONSIBLE INVESTMENT

Principle 1: We will incorporate ESG issues into investment analysis and decision-making processes.

Principle 2: We will be active owners and incorporate ESG issues into our ownership policies and practices.

Principle 3: We will seek appropriate disclosure on ESG issues by the entities in which we invest.

Principle 4: We will promote acceptance and implementation of the Principles within the investment industry.

Principle 5: We will work together to enhance our effectiveness in implementing the Principles.

Principle 6: We will each report on our activities and progress towards implementing the Principles.

Source: unpri.org

(more)



TCM Becomes Signatory to UN PRI

2-2-2

The PRI initiative traces to early 2005 when then UN Secretary-General Kofi Annan invited institutional investors to develop a set of principles for social and environmental responsibility. The principles were developed by experts from the investment industry, intergovernmental organizations and civil society and were launched at the New York Stock Exchange in 2006. The number of signatories has grown from 100 supporters to more than 3,000 today.

TCM manages concentrated equity strategies for institutions and high net worth investors following a growth at a reasonable price, or GARP, style. The firm manages its Multi-Cap Growth Strategy, Partners Strategy and the TCM Focused Fund for institutional and individual investors.

###